

FORMAL

IN RE APPLICATION NUMBER: 09/805,864TRANSMITTAL COVER LETTER FOR FACSIMILE TRANSMISSION

PLEASE DELIVER THE FOLLOWING PAGES TO:

RECEIVED  
CENTRAL FAX CENTER

FEB 14 2005

Mail Stop AF  
Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
Attention: Examiner Stella L. Woo  
TC Art Unit 2643

FACSIMILE NUMBER: (703) 872-9306

THE SENDER IS: Jeffrey H. Ingerman  
Registration Number 31,069  
FISH & NEAVE IP GROUP  
ROPE & GRAY LLP  
1251 Avenue of the Americas  
New York, New York 10020-1105  
Tel.: (212) 596-9000  
Fax.: (212) 596-9090

CLIENT/MATTER NO. 000281-0226CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

February 14, 2005  
Date

Jeffrey H. Ingerman

TOTAL NUMBER OF PAGES, INCLUDING COVER SHEET: 106DATE: \_\_\_\_\_ FACSIMILE OPERATOR: \_\_\_\_\_  
\*\*\*\*\*

THIS COMMUNICATION IS INTENDED ONLY FOR THE USE OF THE ADDRESSEE AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED AND CONFIDENTIAL. IF YOU ARE NOT THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT THE UNAUTHORIZED DISSEMINATION OF THE COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE.

\*\*\*\*\*  
PLEASE ACKNOWLEDGE SAFE RECEIPT OF THIS TRANSMISSION BY SIGNING AND RETURNING THIS COVER SHEET TO US BY FACSIMILE.

WE ACKNOWLEDGE SAFE RECEIPT OF THIS TRANSMISSION

SIGNED: \_\_\_\_\_ DATE: \_\_\_\_\_

IF NOT, PLEASE CALL BACK AS SOON AS POSSIBLE  
PHONE (212) 596-9300 (ASK FOR "FAX OPERATOR")

PATENTS  
ADM-26B

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## PATENT APPLICATION

Applicants : Theodore Simon et al.  
Application No. : 09/805,864 Confirmation No. : 3406  
Filed : March 13, 2001  
For : INTEGRATED SECURITY AND COMMUNICATIONS  
SYSTEM WITH SECURE COMMUNICATIONS LINK  
Group Art Unit : 2643  
Examiner : Stella L. Woo

New York, New York 10020  
February 14, 2005

Mail Stop AF  
Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

FOURTH SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make the following patent documents of record in the above-identified patent application:

Akiyama U.S. Patent 5,440,625 (August 8, 1995)  
PCT Int'l Appln. WO99/46923 (September 16, 1999)  
PCT Int'l Appln. WO99/65192 (December 16, 1999)

The aforementioned patent documents are listed on the accompanying Form PTO-1449 (submitted in duplicate). Copies of the international patent application publications are enclosed herewith. Pursuant to 37 C.F.R. § 1.98(a)(2)(ii), no copy of the United States patent is enclosed.

It is respectfully requested that these patent documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialled by the Examiner, be returned with the next communication.

The listed patent documents are being cited because they were cited in a European official action issued in connection with a counterpart European patent application. For the convenience of the Examiner, a copy of the European official action is attached.


This Fourth Supplemental Information Disclosure Statement is being filed after the mailing date of a final Office Action under 37 C.F.R. § 1.113, and accordingly is governed by 37 C.F.R. § 1.97(d). Pursuant to 37 C.F.R. §§ 1.97(d)(1),e), applicant hereby states that each of the patent documents cited in this Fourth Supplemental Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Fourth Supplemental Information Disclosure Statement. As can be seen from the attached copy of the European official action, the European official action did not issue until January 17, 2005. Moreover, the European official action did not reach the undersigned until the February 9, 2005, five days prior to the date of this Fourth Supplemental Information Disclosure Statement.

Pursuant to 37 C.F.R. § 1.97(d)(2), the Director is hereby authorized to charge \$180.00 in payment of the fee set forth in 37 C.F.R. § 1.17(p), and any additional fee that may be required in connection with this Fourth Supplemental Information Disclosure Statement, to Deposit Account

No. 06-1075. A duplicate copy of this Fourth Supplemental Information Disclosure Statement is submitted herewith.

Prompt consideration of this Fourth Supplemental Information Disclosure Statement is respectfully requested.

Respectfully submitted,

  
\_\_\_\_\_  
Jeffrey H. Ingerman  
Reg. No. 31,069  
Attorney for Applicants  
FISH & NEAVE IP GROUP  
ROPES & GRAY LLP  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1105  
Tel.: (212) 596-9000

Sheet 1 of 1

FORM PTO-1449  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO. ADM-26B	APPLN. NO. 09/805,864
	APPLICANT Theodore Simon et al.	CONF. NO. 3406
	FILING DATE March 13, 2001	TC ART UNIT 2643

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,440,625	8/1995	Akiyama	379	216	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO99/46923	9/1999	PCT Int'l Appln.			✓ (abstract only)	
	WO99/39505	5/1999	PCT Int'l Appln.				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

5  
3226  
3476.1

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1

<b>FORM PTO-1449</b> <b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b>	<b>ATTY. DOCKET NO.</b> ADM-26B	<b>APPLN. NO.</b> 09/805,864
	<b>APPLICANT</b> Theodore Simon et al.	<b>CONF. NO.</b> 3406
	<b>FILING DATE</b> March 13, 2001	<b>TC ART UNIT</b> 2643

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,440,625	8/1995	Akiyama	379	216	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO99/46923	9/1999	PCT Int'l Appln.			✓ (abstract only)	
	WO99/39505	5/1999	PCT Int'l Appln.				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

 5  
 3226  
 3476.1

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

PATENTS  
ADM-26B

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## PATENT APPLICATION

Applicants : Theodore Simon et al.  
Application No. : 09/805,864 Confirmation No. : 3406  
Filed : March 13, 2001  
For : INTEGRATED SECURITY AND COMMUNICATIONS  
SYSTEM WITH SECURE COMMUNICATIONS LINK  
Group Art Unit : 2643  
Examiner : Stella L. Woo

New York, New York 10020  
February 14, 2005

Mail Stop AF  
Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

FOURTH SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make the following patent documents of record in the above-identified patent application:

Akiyama U.S. Patent 5,440,625 (August 8, 1995)  
PCT Int'l Appln. WO99/46923 (September 16, 1999)  
PCT Int'l Appln. WO99/65192 (December 16, 1999)

The aforementioned patent documents are listed on the accompanying Form PTO-1449 (submitted in duplicate). Copies of the international patent application publications are enclosed herewith. Pursuant to 37 C.F.R. § 1.98(a)(2)(ii), no copy of the United States patent is enclosed.

It is respectfully requested that these patent documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialled by the Examiner, be returned with the next communication.

The listed patent documents are being cited because they were cited in a European official action issued in connection with a counterpart European patent application. For the convenience of the Examiner, a copy of the European official action is attached.

This Fourth Supplemental Information Disclosure Statement is being filed after the mailing date of a final Office Action under 37 C.F.R. § 1.113, and accordingly is governed by 37 C.F.R. § 1.97(d). Pursuant to 37 C.F.R. §§ 1.97(d)(1),e), applicant hereby states that each of the patent documents cited in this Fourth Supplemental Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Fourth Supplemental Information Disclosure Statement. As can be seen from the attached copy of the European official action, the European official action did not issue until January 17, 2005. Moreover, the European official action did not reach the undersigned until the February 9, 2005, five days prior to the date of this Fourth Supplemental Information Disclosure Statement.

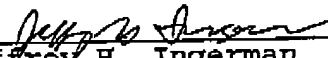
Pursuant to 37 C.F.R. § 1.97(d)(2), the Director is hereby authorized to charge \$180.00 in payment of the fee set forth in 37 C.F.R. § 1.17(p), and any additional fee that may be required in connection with this Fourth Supplemental Information Disclosure Statement, to Deposit Account



No. 06-1075. A duplicate copy of this Fourth Supplemental Information Disclosure Statement is submitted herewith.

Prompt consideration of this Fourth Supplemental Information Disclosure Statement is respectfully requested.

Respectfully submitted,

  
Jeffrey H. Ingerman  
Reg. No. 31,069  
Attorney for Applicants  
FISH & NEAVE IP GROUP  
ROPES & GRAY LLP  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1105  
Tel.: (212) 596-9000